



# SC Midlands Chapter 54 of ISACA

## May 2010 Newsletter

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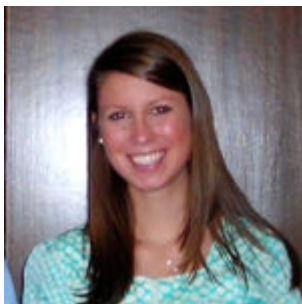
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### ISACA SC Midlands Student Scholarship Award Winners



**Clemson University:  
Alison Setzer**

Alison is an Accounting Major/Finance Minor at Clemson University, and is a member of the Beta Alpha Psi honorary organization. She will graduate in December of 2010 and plans to spend the spring semester interning before returning to graduate school in the fall of

### From the President

**Calling all folks wanting to be a Certified Information System Auditor!** We will be reviewing the CISA exam materials on Saturday, May 15th and Saturday, May 22nd. We will run these sessions with 8 hours each to help you make sure you have covered everything you think you need to pass the exam in June. Additionally, if you are looking for extra CPEs, this will provide 16 CPES and is open to all who need these. Classes will be held at 4101 Percival Road in the BlueCross BlueShield Percival Location. Registration now available on the website at <http://www.scisaca.org>. Go to the Event Registration tab. The early bird pricing is \$90 for members/non-members ends on April 30th! If you are an ISACA Student member, the pricing is \$20. So far we have three signed up - we need at least 7 more folks to make this class a go - so please sign up!

**Our May 5th class will be on Independent Automated Controls and presented by Ramon Nayar.** This will be a free session and held at the 4101 Percival Road in the BlueCross BlueShield Location. Electronic invites were sent out to everyone on our mailing list. Sign up soon, because class room space is limited. Class will be from 1pm to 4pm for three CPEs. If you did not receive your invite, please click here: <http://www.eventbrite.com/org/465484743>.

**Our June 2 class will be on MS SQL security and will be delivered by Brian Kelley.** Make sure to sign up. Sign in will be at 10:30 am, Class begins at 11:00 am. Lunch served at 12 noon and class resumes from 1pm to 4pm. Brian is an MVP for MS SQL - so you do not want to miss this. Class will be delivered as a walk-through audit and will be appropriate for security administrators, auditors, managers, and anyone who processes using MS SQL. The early bird for this class ends soon, so don't miss a great class at a great rate!

**Elections are coming!** We would love to have you join the team to help make the chapter work. Please send your requests to join our roster of officers to any of us listed on the officer's page on the website. Go to: [SC Midlands Officers](#) and send us a note, or any questions you may have. We have a great group, and we still have room for more - so join our team!

Sincerely,  
Sue Rusher  
2009 - 2010 President

### Streamline Audit with Independent Automated Information Controls (3 CPEs)

**Date:** May 5th, 2010  
**Location:** BlueCross BlueShield of SC - 4101 Percival Road, Columbia, SC 29229  
**CPEs:** 3 CPEs  
**Cost:** Free  
**Sign-up at:** <http://www.eventbrite.com/org/465484743>

Hour 1: Independent Automated Controls  
Hour 2: Best Practices for Designing End-to-End Controls  
Hour 3: Continuous Controls Monitoring in the Right Context

**Independent Automated Controls:** Capabilities for Supporting Internal Controls Environment and Risk Management Initiatives  
The use of automated information controls is no longer an option, but

2011. She hopes to attend Clemson University to earn a Masters in Accountancy and sit for the CPA exam before beginning her career.



**College of Charleston: Lisa Beckey**

Lisa earned a B.S Degree in Mathematics in 2002 at Iowa State University. In 2006, she started working as a Data and Information Specialist in the Financial Aid Office at the College of Charleston, and she also started taking classes in the graduate accounting program. She will graduate in May 2010 with a Masters degree from the Accountancy program at the College of Charleston.

The Academic Advocates at the College of Charleston and Clemson University were instrumental in selecting the award winners. The scholarships were awarded based on overall student participation and grade point averages.

**Upcoming Palmetto IIA Events**

**May 18, 2010** Internet Security: In the Office and at Home

For more information please see the Palmetto IIA's [Meetings and Events page](#).

**Learn, Network and Advance Your Career at ISACA's Training Week**

imperative in today's context of volume-intensive businesses and evolving technology. It is because of this complexity in corporate environments today that a slight differently perspective can be considered towards the automation of controls. Information controls that are application independent can provide a much more robust and effective approach towards automation. Independent automated information controls, when designed properly, aligns with the internal controls environment frameworks such as COSO, COBIT and Enterprise Risk Management. Such alignment not only lowers the cost of compliance but also mitigates risk and enhances visibility. This session will provide attendees with a comprehensive understanding for planning the implementation of Independent, Automated Information Controls.

Topics:

- Differences between automated controls and independent automated controls
- The 5 Information Integrity Extrinsic Risk Factors
- Direct mapping of Independent Automated Controls to COSO and COBIT frameworks

Session Learning Outcomes:

- Learn the difference between automated controls and independent automated controls
- Understand the benefits of independent automated information controls
- Understand the 7 defining characteristics of independent automated controls
- Leverage independent controls to align your internal control environment with controls framework such as COSO and COBIT
- Learn how to streamline audit activities using independent controls

References:

- COBIT - IT Governance Framework
- COSO - Enterprise Risk Management Framework
- COSO - Integrated Controls Framework

**Best Practices for Designing End-to-End Controls**

The usefulness and usability of the control

rovi I



What if you could spend five days away from the office surrounded by peers in an environment that stimulates learning, exploring the topics most important to you and your enterprise, updating your professional skills, all while earning up to 38 valuable CPE hours? You can - at ISACA's Training Week! Plus, if you register early, you can save US \$200 on registration fees! Register online now at [www.isaca.org/trainingweek](http://www.isaca.org/trainingweek)

Training Week, offered in five locations, is a unique educational event, designed to provide you with the tools and skills you need to continue your professional development and not only succeed, but get ahead in the workplace as you will upgrade and update your skills.

Choose from four in-depth courses:

Fundamentals of IT Audit and Assurance  
 IT Audit and Assurance Practices  
 Information Security Management  
 COBIT: Strategies for Implementing IT Governance

The next Training Week will be held 24-28 May 2010 in Charlotte, North Carolina, USA. Register for this educational event by 19 May 2010 to receive the early-bird discount! [www.isaca.org/trainingweek](http://www.isaca.org/trainingweek)

## Carolinas RACF Users Group Upcoming Meeting

Thursday, May 13, 2010

Sponsored by Type80

Location: Blue Cross / Blue Shield of South Carolina  
 4101 Percival Rd.  
 Columbia, SC 29229

POC: Frank Russo, (803) 264-6920

Time: 9 a.m. - 4 p.m.  
 (Registration begins at 8:30)

- Present gaps with CCM definition
  - Key characteristics of CCM Solution for supporting audit needs for the enterprise
- Session Learning Outcomes:

- Understand the definition of the CCM
  - Understand the key criteria and factors for CCM
- References

- COSO Guidance on the Internal Control Monitoring System
- ISACA Guidance on the Monitoring of Internal Controls and IT
- Institute of Internal Audit, Continuous Auditing: Implications for Assurance, Monitoring, and Risk Assessment

### Ramon Nayar Infogix, Inc

Ramon Nayar is the Group Leader for Customer Development Group for Infogix, Inc. Over the past 27 years, Infogix has been providing Global 2000 customers with automated control solutions. Ramon is responsible for customer relationships and the evolution of an information risk framework that aligns with the dynamic needs of the business community. Ramon also serves as an advocate for the emerging Information Integrity Space. He has co-authored papers that explore ways to link various quality principles with Information Integrity concepts. Ramon helped establish the Information Integrity Coalition (IIC) - a not-for-profit organization that promotes the awareness and understanding of Information Integrity. Ramon is the founding president of the Information Integrity Interest Group (IIIG). Based on his customer engagements, Ramon has presented at a number of national and international conferences on Risk Management, Information Controls, and Audit. Ramon received a Bachelor of Science degree in telecommunication from Michigan State University.

## Microsoft SQL Server Security and Auditing (4 CPEs)

**Presented by Brian Kelley**  
 Microsoft MVP - SQL Server

**Date:** June 2, 2010

**Time:**  
 Registration is at 11:45 a.m.  
 Lunch served at 12:00 p.m.  
 Class 1:00 p.m. to 5:00 p.m.

**Location:** BlueCross BlueShield of South Carolina Tower Auditorium at Alpine Road and I-20 in Columbia, SC  
**CPEs:** 4 CPEs

**Price:**  
 Early Bird: January 11 - May 6, 2010  
 \$30 - SC Midlands ISACA Members  
 \$35 - Affiliate Members of IIA, SIAA, IMA, other ISACA chapters, etc.  
 \$50 - Non-Members

Regular Registration: May 7, 2010 - May 27, 2010  
 \$40 - SC Midlands ISACA Members  
 \$45 - Affiliate Members of IIA, SIAA, IMA, other ISACA chapters, etc.  
 \$60 - Non-Members

Late Registration: May 28, 2010 - June 1, 2010  
 \$50 - SC Midlands ISACA Members  
 \$55 - Affiliate Members of IIA, SIAA, IMA, other ISACA chapters, etc.  
 \$80 - Non-Members

### Course Description:

In this 4 hour course, K. Brian Kelley (CISA, MCSE, Security+, Microsoft MVP - SQL Server) will explain the security model for the Microsoft SQL Server database engine, how it interacts with the Windows operating system, which security features are available in each version and edition of SQL Server, what backup/restore features are available in each version and edition of SQL Server, and how to audit for permissions and rights across SQL Server 2000 through 2008. In addition, attendees will

a.m.)

Cost: \*\* Free \*\*

Agenda: The RACF Checks for the IBM Health Checker for z/OS  
Mark Nelson, IBM

CICS Grouping Class vs Transaction Class What makes sense

Sherry Courtney

Lightweight Directory Access Protocol (LDAP)

Jay Brodfuehrer, IBM

Sponsor Presentation

Jerry Harding and Don Pagdin, Type80 Security Software, Inc.

Registration: Contact Jim Thorpe

- Phone: (919) 631-2393

- Email: THORPEENT@aol.com

Advanced registration is requested to ensure sufficient refreshments and handouts are available. Please send us your registration on or before COB, Tuesday, May 11th.

## Western Carolina IIA Meeting

On May 14th, we will have a full day of discussions on topics that we all consider important. The folks from Jefferson Wells are presenting the following:

- Fraud awareness and current fraud-related update
- This is a discussion of fraud indicators and risk assessment, along with an update on recent fraud events.
- Optimizing your ICFR (internal control over financial reporting) assurance activities
- We'll discuss a number of ways you can streamline your internal audit assurance activities.
- Updates on tax policies, trends and future direction
- In addition to obtaining updates and trends on tax policy changes, you will also receive updates on the impact of recent legislation including health care reform
- Spreadsheet controls
- Learn what to watch for, how to implement controls, and how certain tools can be used to manage the risk of using spreadsheets
- IT audit assurance
- We will discuss and IT risk assessment tool to help internal auditors address the most critical IT risks
- Committee reporting and communications
- How much detail? What should be the tone of the report? These and other matters will be discussed along with trends and

participate in a walk-through audit during the class.

### Biography:

Brian is a SQL Server author, columnist, and Microsoft MVP focusing primarily on SQL Server security. He is a contributing author for How to Cheat at Securing SQL Server 2005 (Syngress) and Professional SQL Server 2008 Administration (Wrox). Brian currently serves as a database administrator / architect for AgFirst Farm Credit Bank where he concentrate on his passion: SQL Server. He previously was a systems and security architect for AgFirst Farm Credit Bank where he worked on Active Directory, Windows security, VMware, and Citrix. In the technical community, Brian is president of the Midlands PASS Chapter, an official chapter of PASS. Brian is also a junior high youth minister at Spears Creek Baptist Church in Elgin, SC.

### Relevant Links:

Professional Site:

<http://www.truthsolutions.com/>

Articles at SQL Server Central:

[http://www.sqlservercentral.com/Authors/Articles/Brian\\_Kelley/4995/](http://www.sqlservercentral.com/Authors/Articles/Brian_Kelley/4995/)

Articles at MSSQLTips.com:

<http://www.mssqltips.com/author.asp?authorid=25>

Professional Blog: [http://www.sqlservercentral.com/blogs/brian\\_kelley/](http://www.sqlservercentral.com/blogs/brian_kelley/)

LinkedIn Profile:

<http://www.linkedin.com/in/kbriankelley>

Twitter:

<http://twitter.com/kbriankelley/>

## 2010 ISACA International Leadership Conference

Board Members of the SC Midlands ISACA Chapter recently had the opportunity to attend the ISACA International North America Leadership Conference held in ISACA Headquarters of Chicago. Vice President Kathy Riley, Secretary Brian Clyburn, and Director of Marketing Chris Kroll were our representatives for this event, which occurred over the weekend of April 17th & 18th.

Other than the tremendous networking opportunities afforded the group, our local representatives attended Conference mini-sessions aimed to increase the skill-set of board members for the betterment of their local chapter.

At Chicago, these mini-sessions included the following topics (hosting entity in parentheses):

- ISACA Headquarters Update
- Web 2.0-Details on the Roll-Out of the New ISACA website (ISACA International)
- Making Chapter Meetings a Fun Experience (Los Angeles)
- Increasing Chapter Meeting Attendance (North Texas)
- Case Studies in Chapter Operations and Organization (Mid-Tenn)
- Implementing Long Term Chapter Strategies (Denver)
- ISACA Student Groups (ISACA International)
- Chapter Marketing and PR. (ISACA International)

As a result, the weekend was packed with good and useful information. Board members had a busy schedule attending all of the scheduled sessions as well as conversing with other chapter leaders to learn ways to better serve our local chapter and increasing enrollment.

Per ISACA guidelines, SC Midlands is considered a "Medium" sized chapter at 120+ members. Some of the chapters attending the event are "Very Large" at upwards of 1,500 members. As a result, membership is a hot topic at these Leadership conferences, with members sharing ideas on how to retain and enhance their local chapter enrollment. Our board is not limited to just events like this however at reaching our goal of growing the chapter, we need members input as well.

## 2010 CISA Review Course (16 CPEs)

best practices for verbal and written communications.

As you can see, this is information you can truly use!

Location is the Michelin Conference Center.

Cost is \$100 for 8 CPEs and lunch - How can you beat that?

Register now for this event at the [WCIIA web site](#).

## Job Hunting?

Frequently, the ISACA SC Midlands Chapter is contacted by businesses that have an employment opportunity that would be of interest to our membership. These opportunities are posted on our web site at [www.scisaca.org](http://www.scisaca.org) under the heading "Current Job Openings". Be sure to check the site regularly to stay informed of the latest postings.

## ISACA Bookstore Update

The ISACA Bookstore offers new tools for preparing for ISACA's 2010 certification exams:

- CISA® Review Manual 2010 (available in English, French, Italian, Japanese and Spanish)
- CISA® Practice Question Database v10, CD-ROM or download (available in English and Spanish)
- CISA® Review Questions, Answers & Explanations Manual 2010 (available in English, Italian, Japanese and Spanish)
- CISA® Review Questions, Answers & Explanations Manual 2010 Supplement (available in English, French, Italian, Japanese and Spanish)
- CISM® Review Manual 2010 (available in English, Japanese and Spanish)
- CISM® Practice Question Database v10, CD-ROM or download (available in English)
- CISM® Review Questions, Answers & Explanations Manual 2009 (available in English, Japanese and Spanish)
- CISM® Review Questions, Answers & Explanations Manual 2009 Supplement (available in English, Japanese and Spanish)
- CISM® Review Questions, Answers & Explanations Manual 2010 Supplement (available in English, Japanese and Spanish)
- CGEIT® Review Manual 2010 (available in English)

SC Midlands Chapter of ISACA presents the 2010 CISA Review Course:

Plan to join us if you are taking the June test or if you need some great CPEs cheap! This is a fantastic way to begin to understand IT Audit.

**CPEs:** 16

**Cost:**

Regular Registration is \$100 Register by May 6th

Late Registration is \$110 Register closes on May 14th

**Register at:** <http://www.scisaca.org>

You may pay by credit card or mail in a check per instructions on the website.

**Where:**

BlueCross BlueShield of SC

4101 Percival Road

Columbia, SC 29229

1st Floor 3rd Wing, the Knowledge Room

**Parking:**

Parking is in any spot at the front of the Percival building.

**When:**

Saturday, May 15th and May 22nd 2010

**Time:** 8:00 am to 5 pm.

Plan to be at the Security Desk in Lobby at approximately 7:45 am

A light breakfast and lunch will be served.

What to purchase and bring:

We recommend that you purchase the 2010 CISA Review Manual from the ISACA bookstore. Member cost is \$105

We have put together a team of local experienced CISA practitioners to help you review the material the CISA exam requires for you to pass. We will also provide practice exam questions over all of the domains of the test. You will be provided the power points at the first session. Please bring these to each meeting.

Schedule:

Chapter 1 - The IS Audit Process

May 15th at 8:00 am

Chapter 2 - IT Governance

May 15th at 10:00 am

Chapter 3 - Systems and Infrastructure Life Cycle

May 15th at 1:00 pm - 5pm

Chapter 4 - IT Service Delivery and Support

May 22nd at 8:00 am

Chapter 5 - Protection of Information Assets

May 22nd at 10 am - 12 noon

May 22nd at 1:00 pm to 3:00pm

Chapter 6 - Business Continuity and Disaster Recovery

May 22nd at 3:00 pm



Visit the ISACA Bookstore at [www.isaca.org/bookstore](http://www.isaca.org/bookstore) or see the ISACA Journal Bookstore insert for additional information.

Contact the Bookstore at [bookstore@isaca.org](mailto:bookstore@isaca.org) or +1.847.660.5650.

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