Off-Line

The SC Midlands Chapter of the Information Systems Audit & Control Association

You are Invited to the ISACA – IIA September Seminar:

A joint meeting between the Midlands ISACA Chapter and the Palmetto Chapter of The Institute of Internal Auditors will be held Tuesday, September 23rd at Seawell's in Columbia. The topics will be Risk Assessment and Business Continuity. The session will provide 3 CPE credit hours and lunch will be served. The cost is $40.

Risk Assessment – Presented by John Gatto

There are many risks within an organization. One of the more challenging is identifying and quantifying the risks within the IT Organization. This presentation will focus on an IT Risk Assessment methodology from an operational and system development perspective.

John Gatto has been Senior Director of IT Audit & Advisory Services for Health Care Service Corporation (HCSC) in Chicago, IL. since December, 2005. In this role, he is responsible for all aspects of IT Audit for the four Plans comprising HCSC (Illinois, Texas, New Mexico and Oklahoma) and encompasses NAIC / MAR compliance and testing, risk based audits, advisory engagements for new development projects, coordination of SAS-70 reviews and E&Y Year-End Financial Audits. John is a member of a number of Steering Committees within the IT area of HCSC.

Prior to HCSC, John worked at Federal-Mogul in Michigan as the Sox coordination supervisor, Avery Dennison in California as a Project Manager, and spent 13 years with Horizon BlueCross BlueShield of New Jersey, where he was Director of Systems Audit, Customer Audit and Operations Audit.

John has over 35 years of audit experience, most of it in the IT arena. He is a CISA and has his MBA from Fairleigh-Dickinson University in New Jersey. John is a frequent speaker for the BCBSA, IIA and ISACA organizations.

Business Continuity and the Auditor – Michael Upfield

The ability to continue operations without interruption has become a requirement of almost all organizations. This presentation will look at the evolution of business continuity as a method to address continuous operational requirements, and examine the elements of a business continuity program.

Mike Upfield, CBCP, is the Manager of Business Continuity and Crisis Management for BlueCross BlueShield of South Carolina (BlueCross). He has been with BlueCross since 1993, and has worked with a number of areas including Government Programs anti-fraud efforts and statistical analysis prior to developing the in-house Business Continuity Program beginning in 2004.

Prior to joining BlueCross, Mike worked as a production engineer where he was involved in projects incorporating information technology into manufacturing processes. Mike holds an M.B.A. from the University of South Carolina and a Bachelors degree in Engineering and Computer Science from Vanderbilt University.

Important Dates

September Chapter Meeting – September 23rd

IIA Fall Conference – October 6th – 8th

Deadline for CISA and CISM:
Early Registration – August 20th

Final registration deadline – September 24th

Exam Date – December 13th

November Chapter Meeting – November 20th

In This Newsletter:

+ISACA

SC MIDLANDS CHAPTER
REGISTRATION FORM

Three-Hour Seminar:	
Risk Assessment and Business Continuity	
Location:	**Dates:**
Seawell's	**Tuesday, September 23, 2008**
1125 Rosewood Drive	**Doors Open: 8:30 AM**
Columbia, SC 29201	**Presentation Time:**
803-771-7685	**9:00 AM – 12:00 PM**
	12:00 noon Lunch is served
	3 CPEs

Registration

Registration is required for the seminar and is requested by Tuesday, September 16, 2008. Please complete this registration form and submit to:

Phil Brock, Chapter Secretary at pbrock@scana.com

I will attend the seminar . . . . $ 40.00

I am a member of ISACA _____   IIA_____   Both groups _____

NAME: _____

COMPANY: _____

E-MAIL: _____   **PHONE:** _____

I will bring payment to the seminar   _____

Please prepare a bill for me to present to my company _____

President's Letter:

Dear SC Midlands Chapter of ISACA,

Hello! First, I would like to thank our membership for its vote of confidence in March on the new slate of officers. All board members and I look forward to serving you and to continually improving our abilities to meet the needs of IT risk professionals in the greater Columbia area.   We would like to thank Jan Bennett and Kristin Taffar, the departing president and vice-president, who for years gave many hours to making our chapter successful.

As we shift our thoughts from the great times of summer vacations to the work ahead, please include in your plans our great list of training and events for our chapter. Our goal is to continue to provide maximum value to our members through training events and volunteer opportunities. Look for a preview of our upcoming events including a return visit from Ken Cutler from the MIS Training Institute in January, a visit from Noah Schiffman – a former black-hat hacker turned security consultant, and to meeting Kevin Beaver, the author of "Hacking for Dummies." Additionally, we hope to see you all at the State Audit Conference to be held in Myrtle Beach October 6th, 7th, and 8th. Our IT Track will be "How to Audit Application Controls."

This year, we invite you to help with a number of committees. We are looking for people interested in helping the following committees:

Welcoming Committee – Meet and greet attendees at seminars

- Refreshment committee – We need drinks, coffee, and snacks at our one day seminars and need people who love to serve.

- CISA Review Committee – We have had many requests for local CISA review courses. We need people to volunteer to teach a section and people to organize the training.

- Web Committee – Michael Wessinger is our Webmaster. We are looking for people who have an interest with assisting our endeavor to improve our local website.

- Academic Relations – We need people who are interested in helping to build the relations between our chapter and our many local college students.

- Employment Committee – Many people are looking for jobs – companies have vacancies that are hard to fill. We need people who would like to help put them together by providing that opportunity through our newsletters and websites. Want to help?

I welcome your voice on these ideas and invite you to contact me or any other board member for further discussion.   I am excited about the possibilities for our chapter, as we look forward to our 2008-2009 year. Thank you for your continued participation in our chapter and your anticipated future engagement.

Best regards,
Sue Rusher
President
South Carolina Midlands Chapter of ISACA

Officers for the 2008 – 2009 Chapter Year:

President
Sue Rusher, CISA, CIA
IT Auditor, BlueCross BlueShield of SC

Sue has seven years of experience in information technology auditing beginning with the Office of Inspector General with the US Department of Agriculture and then making a move from Kansas City to Columbia, South Carolina two years ago to work with BlueCross BlueShield of SC. Her audit experience includes conducting audits over a variety of operating systems such as IBM's mainframe and Windows, as well as General and Application Control Audits. Sue has a BS in Business Administration from Emporia State University, a Masters in Accounting and Finance and a Masters in Information Systems Management from Keller Graduate School of Management of DeVry University. She has served as the Midlands Chapter secretary and is an active participant in the chapter's events.

Vice-President
Currently Vacant

Treasurer
Don West, CPA, CISA, CISSP, PMP, CITP

Don has 35 years of experience in project management and information systems. While with engineering, procurement and construction industry leaders Daniel Construction, Fluor Daniel and Jacobs Engineering he worked in estimating, planning and cost control on dozens of large scale projects in the power, paper, pharmaceutical and chemical sectors. He also designed, programmed, implemented and supported project control systems in multiple locations for each firm. As a Project Manager at BlueCross BlueShield of South Carolina he managed the largest Medicare datacenter migration in history from Dallas, Texas to Columbia, South Carolina; and the implementation of a workflow and piece tracking system for BlueCross' print operations which produce several million pieces of mail per month. Don is a consultant for Blytheco, LLC. He graduated from the University of South Carolina in Accounting. Don is a member of the AICPA, a CPA Ambassador and a member of SCACPA where he chairs the Web Site Task Force and the Technology Committee. He is also Captain of the Columbia Tip-Off Club.

Secretary
Roscoe Patterson, CISA
IT Auditor, SCANA Services

Roscoe has seven months of experience in information technology auditing. Prior to joining the Audit Services Team, he worked in a process improvement capacity for various groups within IST at SCANA, including Quality Assurance, Information Security, Compliance and Controls and Project Management Office. He has a BS in Business Administration from Benedict College and a Masters in Information Technology Management from Webster University.

Secretary
Phil Brock, CISA
IT Auditor, SCANA Corporation

Phil has been in IT audit with SCANA for 5 years. His prior experience at SCANA included working as a Senior Analyst and Team Leader in the Customer Systems Support Organization providing end-user support for the customer information and billing system. Before joining SCANA, he was employed by the SC Department of Health & Environmental Control in Health Services Information Systems. His audit experiences at SCANA have ranged from application and operating systems to the control systems for our electric and gas operations. Phil holds a BA in Religion from Mercer University in Macon, Georgia and a Masters of Business Administration from USC-Columbia.

Letter from Rodney Newton, Departing Vice-President

It is with regret that I am announcing my resignation from the position of Vice President of the SC Midlands Chapter of ISACA. I am resigning to return home to the Philadelphia area to work as Senior Internal Auditor of IT at American Water. I have truly enjoyed working with the SC Midlands Chapter and attending the informative meetings as a member. I wish you all much success in the future and look forward to hearing about the great things going on in the Midlands!

Stay Tuned for Further Info...

As Sue mentioned in the President's letter, there are some great educational opportunities planned for this chapter year. Beginning with the joint meeting with the IIA in September, topics have been selected based on your input from the questionnaire. You have spoken and we will be providing more details regarding the upcoming programs.

October 6th – 8th: How to Audit Business Application Controls, IIA Fall Conference

November 20th: Black Hat Discussion and Demo, Noah Schiffman

January 21st – 23rd: Securing and Auditing Your Web-Enabled Applications, Ken Cutler

March: Ethical Hacking Discussion and Demo, Kevin Beaver

Don't Forget the CGEIT!

If you are interested in obtaining the Certified in the Governance of Enterprise IT™ (CGEIT™) certification, the deadline for the "grandfathering" provision is October 31st. This provision is for "professionals who have had a significant management, advisory and/or assurance role in the governance of information technology". The first exam is scheduled for December 13th. For more information, refer to the ISACA web site at http://www.isaca.org/cgeit.

ISACA Member Benefit Highlight:

The new CISA Online Review Course is designed to appeal to candidates preparing for the exam as well as certifieds who want CPEs and/or review opportunities. The online course includes practice exam questions, case studies, interactive exercises, online glossary and references, etc. Go to http://www.isaca.org/elearning for more information.

Need to sign up as a member?

Join ISACA after 7 August by going to www.isaca.org/join and get membership through 31 December 2009!

One extra year free!

Coastal Carolina Chapter IIA
c/o Myra Rose, Santee Cooper, A202
P. O. Box 2946101
Moncks Corner, SC 29461-6101

October 6 – 8, 2008
Beach Cove Resort
4800 S. Ocean Boulevard
Windy Hill Section
North Myrtle Beach, South Carolina

16th Annual
Statewide Internal Auditing Conference
Jointly Sponsored by:
Coastal Carolina Chapter IIA, Hosts
Palmetto Chapter IIA
Western Carolinas Chapter IIA
State Internal Auditors Association
SC Midlands Chapter of Information Systems Audit Control Association

Audit Skills for Every Member of Your Staff!

Participants may choose 1 of the following 2½ day seminars by the best in internal audit training, at "in house" rates.
(Each Seminar qualifies for 20 hours CPE.)

1. Skills for the New Auditor-in-Charge
Presented by: John McKeever, CFE, CQA, CPC, CBM, CCSA

Your Opportunity to…

- Master project management techniques through effective planning, organizational techniques, and expecting the unexpected.
- Learn eight simple planning tools that ensure success.
- Find out how to control the audit by using well-established supervisory, communication, and the time-management techniques.
- Identify six steps of effective delegation.
- Learn how to train auditors to perform assigned tasks, solve problems, and document audits properly.

Calling All…

- Recently promoted auditors-in-charge or auditors assuming the role of lead auditor of an audit project.
- And for those auditors aspiring to be an auditor-in-charge in the near future assuming new responsibilities.

2. Operational Auditing: Advanced
Presented by: Paulette Keller, CIA, CPA, MBA

Your Opportunity to…

- Expand your auditing beyond financial and compliance audits.
- Practice setting organizational objectives and understand the tools managers use to achieve them.
- Extend internal controls beyond the Sarbanes-Oxley world.
- Learn more about the "alphabet-soup" organizations use to achieve objectives-COSO, CoCo, ISO, TQM, Six Sigma, etc.
- Practice four specific operational audit tools, focused on risk, efficiency, self-assessment, and process flow analysis.
- Discuss internal audit leading practices and a common observation from external QAR's related to operational audit.
- Discuss the technologies that help enable the audit process-sampling, computer software and continuous monitoring.

Calling all…

- Staff auditors entering the operational auditing environment.
- Auditors making the transaction from public accounting, government auditing, or compliance auditing to operational auditing.
- Operations managers and operations staff new to audit.
- Auditors with 3+ years experience in Operational Auditing and a good understanding of control frameworks
- Auditors in a position in their organizations to discuss the strategy and make decisions related to operational auditing approaches.

3. Auditing Business Applications Systems
Presented by: Stuart Holoman

Your opportunity to …

- Focus on a top-down risk-based approach to auditing application system transactions.
- Techniques that can be applied to all types of applications from batch to on-line to real-time systems.
- Learn how to access key risk and control in each stage of the application processing cycle.
- Learn how to prioritize your audit approach to achieve optimal results in an effective and efficient manner.
- Learn how to assess all aspects of a business application, including completeness and accuracy of input, processing and output, transaction authorizations, processing flow balancing and reconciliations.
- Learn about IT general controls risks and control objectives for critical aspects of the IT infrastructure.

Who Should Attend?

- Financial, Operational, and IT Auditors.
- Auditors who need a technical and operational understanding to audit automated business applications.

3. CIA Review
Presented by: Dr. Garland Granger, Ph.D., CPA, CIA, Professor of Accounting, Guilford University, Greensboro, NC

- Corresponds to the four parts of the Certified Internal Auditor exam administered by IIA.
- If you do not need to review for all 4 parts of the exam, you can sit in on another class.

- Even if you are not registered for the exam you may find this a good overview of Internal Auditing, or a chance to learn what the exam is all about.

General Information

Conference Hotel

The conference will be held at the luxurious Beach Cove Resort, 4800 South Ocean Boulevard in North Myrtle Beach, SC. Beach Cove Resort has offered rates of $70.00 per night single or double occupancy for a 1-bedroom condo (+ $7.70 Sales Tax and $6.66 resort fee = $84.36). Beach Cove requires a deposit of one night's rate plus 10% tax to confirm reservation. Call for reservations at: 1-800-331-6533. When making your reservation, identify that you are with The Institute of Internal Auditors. You must present a photo ID upon check-in. PLEASE MAKE YOUR ROOM RESERVATIONS AS SOON AS POSSIBLE!

Meeting Facilities

All meetings will be held in the hotel Conference Facility.

Directions *(Along the Coast)*
Hwy 17 or 17A to Murrells Inlet. Hwy 17 Bypass begins at Murrells Inlet and continues northward until it merges with Kings Hwy (17 Bus). Continue toward Barefoot Landing, and turn right onto 48th Ave. S., (at the Alabama Theater Sign).

(From the Midlands)
Take I-20 to Florence. Bear left onto US- 76 E, which becomes SC-576 E and then Hwy 501 S. Bear right onto SC-22 E/ Veterans Hwy. Merge onto Hwy 17 (Kings Hwy). Continue toward Barefoot Landing ~ 2.8 miles, turn right onto 48th Ave. S., (at the Alabama Theater Sign) which becomes Ocean Blvd. S. The Beach Cove is located at the end of 48th Ave. on the right.

Conference Registration

Early Bird Registration – On or Before 8/31/08
$525   Members
$575   Non-Members

Regular Registration – 9/1/08 – 9/30/08
$575   Members
$625   Non-Members

After 9/30/08
$625   Members
$675   Non-Members

Hardship Tuition:
For those paying out of pocket, not reimbursed, call or e-mail for information.

CIA Review Session.
- $150 per part, *or*
- Tuition per fee schedule (see above) for any/all parts, if less than four parts, (free) choice of Session 1, 2 or 3.

Attire
Dress for all events is casual. Since temperatures in meeting rooms vary, a sweater might be a good idea.

Parking
Free on-site covered parking garage.

REGISTRATION- DEADLINE OCTOBER 1, 2008!

Name: _____

Title: _____

Employer: _____

E-Mail: _____

Address: _____

City: _____

State: _____   Zip: _____

Phone: _____

Fax: _____

Mark all that apply:
_____ IIA Coastal Carolina Chapter
_____ IIA Palmetto Chapter
_____ IIA Western Carolinas Chapter
_____ SIAA Member
_____ ISACA
_____ Non member

Registration is on a first-come, first-served basis. No refunds will be made, however substitutions are allowed.

Session Selection:
$_____ Skills for the New Auditor-in-Charge
$_____ Operational Auditing: Advanced
$_____ Auditing Business Applications Systems
$_____ CIA Review (for less than 4 parts CIA Review I would like to sit in on :)

_____

_____

$_____ Part(s) ___ I ___ II ___ III ___ IV of CIA Review Please bring your own Gleim manuals for CIA Review. (13th Ed.)

$_____ Total Registration (Includes course materials, lunches and breaks. CIA Review manuals are extra and are priced separately).

Mail registration, along with a check payable to:
Coastal Carolina Chapter IIA
c/o Myra Rose, Santee Cooper, A202
P.O. Box 2946101
Moncks Corner, SC 29461-6101
EIN # 57-075-2356

ABOUT THE SPEAKERS

Paulette Keller, CIA, CPA, MBA, is the Director of Audit Technology Support for Farmers Insurance Group/Zurich Financial Services. In her current role, she oversees the development and maintenance of audit software and CAAT tools. She has more than 25 years of internal audit experience and specializes in operational, compliance, and fraud auditing. She has developed course work and conducted training sessions for audit teams in annual planning, risk assessment, audit standards, leadership, and managing the audit process. Prior to becoming an audit director, Paulette was an audit specialist with Farmers Insurance Group. In that role she implemented several new audit tools including process mapping, mapping documentation, and objective-oriented auditing. Her process-mapping project led to an article in the IIA's Internal Auditor magazine. She also co-authored a book on the subject with Mike Jacka titled Business Process Mapping – Improving Customer Satisfaction. Paulette holds a Bachelor of Arts degree in Business Administration from the University of Washington and a Master of Business Administration from Arizona State University. She is a member of the IIA's Phoenix Chapter, and also serves on the IIA Professional Seminars Committee.

John McKeever, CFE, CQA, CPC, CBM, CCSA, is the President of Contemporary Business Concepts. In addition, he has served as an Operations Manager, Consultant, Instructor, and on the audit staff of AT&T. During his fifteen years in the audit department at AT&T, he conducted and led a wide range of audits and consulting projects. These included numerous audits and projects of finance, operations, and information technology processes. He has trained and advised thousands of auditors and managers while in private practice, at the AT&T School of Business, and The IIA. John has developed and delivered a number of business programs that include*, Consulting: A Value Added Services, The Tools and Techniques That Make It* Work, *COSO: The Steps To Success,* and*. Help Your Client Succeed with Control Self-Assessment.* John frequently speaks at public seminars, professional associations, state and federal agencies, and corporations. His work has included developing and delivering risk and control management programs specifically focused for the needs of Senior Executives, Boards of Directors, and Audit Committees. He is the author of numerous research papers that have addressed the concepts of process improvement in business, employee empowerment, and the management of effective teams. Using these tools, he has guided and encouraged thousands of domestic and international professionals to move toward process and business improvements.

Stuart Holoman is a Senior Consultant for MIS Training Institute. He has over 35 years of experience in computer and data processing systems design, management, and audit and security consultation and training. In recent years, he has specialized in computation and distributed processing systems. Formerly with NorTel, Mr. Holoman directed computer/telecommunications operations, software/hardware acquisition, and software development. Prior to his work at NorTel, he was with Bell Laboratories, where he was involved in communications systems design and development. While at Bell, he developed new techniques and methodologies for the formal and systematic specification of computer systems. Mr. Holoman also pioneered work in abstract protocol theory and was a technical contributor to the ISO for the OSI Reference Model, which today forms the basis for many existing and emerging communications standards. As a principal consultant for HOLOCON, Inc., Mr. Holoman has been involved in network specification, vendor selection, and networking support. He has also designed and implemented numerous communications protocols and interfaces among disparate computing and process control systems. Recently, for the audit and security communities, he has been reapplying and simplifying engineering tools and developing new methodologies for auditing and securing interconnected computing systems with differing implementation philosophies (such as client/server systems and mainframes). Mr. Holoman's fundamentally new approach to this rapidly changing environment concentrates on analyzing expected or required functionality rather than concentrating on specific hardware or software packages. Mr. Holoman has published numerous papers, and he frequently speaks before audit and security audiences on computation topics. Courses he leads for MIS include *IT Auditing and Controls, A Practical Guide to Controls for IT Professionals,* and *Continuous Auditing: Making the Change.*

Garland Granger, Ph.D., CPA, CIA is Professor of Accounting, Guilford University, Greensboro, NC. Dr. Granger's twenty-four hour video review course, "CIA Review," sold nationally by Lambers, attests to his success as a professor, lecturer and professional. This will be a valuable opportunity to review for the CIA exam with Dr. Granger in person, not on video!

CONFERENCE AGENDA:

Monday, October 6, 2008

7:30	Registration
7:30	Breakfast (7:30 – 8:15)
8:30	Sessions Begin
10:00	Break
10:15	Sessions Resume
12:00	Lunch
1:00	Sessions Resume
2:30	Break
2:45	Sessions Resume
4:30	Sessions Adjourn

Tuesday, October 7, 2008

7:30	Breakfast (7:30 – 8:15)
8:30	Sessions Begin
10:00	Break
10:15	Sessions Resume
12:00	Lunch
1:00	Sessions Resume
2:30	Break
2:45	Sessions Resume
4:30	Sessions Adjourn

Wednesday, October 8, 2008

7:30	Breakfast (7:30 – 8:15)
8:30	Sessions Begin
10:00	Break
10:15	Sessions Resume
12:00	Conference Ends

FOR ADDITIONAL INFORMATION

Contact:	Myra B. Rose
Phone #:	(843) 761-8000 ext. 5662
Fax #:	(843) 761-4168
e-mail:	mbrose@santeecooper.com